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PATENT
Attorney Docket No.: COOL-01400

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

James Lovette et al.

Serial No.: 10/612,241

Filed: July 1, 2003

For: **MULTI-LEVEL MICROCHANNEL
HEAT EXCHANGERS**



) Group Art Unit: 3743

) Examiner: Tho V. Duong

TRANSMITTAL LETTER

) 162 N. Wolfe Road
Sunnyvale, CA 94086
(408) 530-9700

) Customer No. 28960

MS: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Information Disclosure Statement, and Form PTO-1449, including a copy of the reference contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: 3-13-06

By: 
Thomas B. Haverstock
Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR§ 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.

Date: 3-13-06 By: 

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} **CERTIFICATION FOR
SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT UNDER 37
CFR § 1.97(e)**

} 162 N. Wolfe Road
}) Sunnyvale, CA 94086
}) (408) 530-9700

MS: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

Certification

I hereby certify that the attached five (2) references contained in this supplemental information disclosure statement were cited within a Foreign Office Action mailed on December 12, 2006. Accordingly, the references contained in the supplemental information disclosure statement were cited in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of the statement. 37 C.F.R. 1.97(e)(1).



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Attorney Docket No.: COOL-01400

Identification of Person Making Certification

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: 3 - 13 - 06

By: 
Thomas B. Haverstock
Reg. No.: 32,571

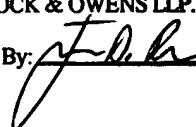
Attorneys for Applicants

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- 2 -

HAVERSTOCK & OWENS LLP.

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DISCLOSURE STATEMENT**

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(408) 530-9700

MS: Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

The citation listed below, copy attached, may be material to the examination of the above-identified application, and is therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make this citation of official record in this application.

Applicants have become aware of the following printed publication which may be material to the examination of this application:

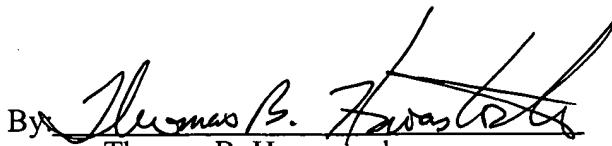
- German Publication No. DE 197 10 716 C 2; and
- PCT Publication No. WO 01/25711 A1.

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This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that this citation constitutes prior art.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: 3 - 13 - 06

By 
Thomas B. Haverstock
Reg. No.: 32,571

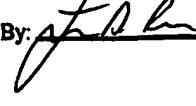
Attorneys for Applicants

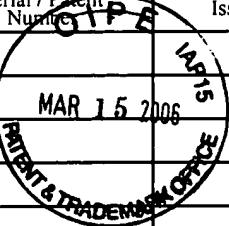
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HAVERSTOCK & OWENS LLP.

Date: 3-13-06 By: 

FORM PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office		Attorney Docket No.: COOL-01400		Serial No.: 10/612,241		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use Several Sheets If Necessary) (37 CFR § 1.98(b))				Applicants: James Lovette et al.				
				Filing Date: July 1, 2003		Group Art Unit: 3743		
U.S. PATENT DOCUMENTS								
Examiner Initials		Serial / Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date	
	AA							
	AB		MAR 15 2006					
	AC							
	AD							
	AE							
	AF							
	AG							
	AH							
	AI							
	AJ							
FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS								
		Document Number	Publication Date	Country / Patent Office	Class	Subclass	Translation	
							Yes	No
	AK	DE 197 10 716 C2	09/24/98	DE	H01S	5/024		X
	AL	WO 01/25711 A1	04/12/01	PCT	F28F	3/04		X
	AM							
	AN							
	AO							
OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)								
	AP							
	AQ							
	AR							
	AS							
	AT							
	AU							
	AV							
	AW							
	AX							
	AY							
	AZ							
Examiner:				Date Considered:				
EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								